Express Mail Label No.EV132190547US

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

CF/002

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR TRADING

the spec	ification of which			
(check one)	[] is attached he	ereto		
,	<pre>[X] was filed on _ Application Serial</pre>	No.	April 19, 2000 09/553,423	as
	and was amended or		applicable)	·

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I do not know and do not believe that the invention was ever patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application.

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I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Fore	ign Applicatior	n(s)		Priority Claimed
(Number)	(Country)	(Day/Month/Year	Filed)	[] [] Yes No
(Number)	(Country)	(Day/Month/Year	Filed)	[] [] Yes No
Code § 119 application 60/131	<pre>(e) of any Unit n(s) listed bel</pre>	April 30,	sional	States
Code, § 12 and, insoft this application Title 35, to disclose all informations defined which became application	O of any United ar as the subjecation is not don in the manner United States Control to the United ation known by in Title 37, Cone available be	t under Title 3 States applica ct matter of ea isclosed in the provided by th ode, § 112, I a States Patent me to be materi ode of Federal tween the filin nal or PCT inte	ction(s) lach of the prior Under first packnowledge and Trader al to pate Regulation g date of	isted below claims of ited States aragraph of e the duty mark Office entability ns, § 1.56 the prior
(Applicatio	on Serial No.)	(Filing Date)	(Status) pending,	11
(Application	on Serial No.)	(Filing Date)		(patented, abandoned)
attorneys o transact al	or agents to proll business in Office connected	reby appoint th osecute this ap the United Stat d therewith:	plication es Patent	and
		erman (Pog No.		

Send correspondence to:

Matthew T. Byrne FISH & NEAVE 1251 Avenue of the Americas New York, New York 10020-1104

Matthew T. Byrne (Reg. No. 40,934).

Direct telephone calls to: Matthew T. Byrne (212) 596-9000

Full name of first inventor Stuart A. Fraser
First Inventor's signature
Date
Residence 18 Maple Way, Armonk, New York 10504
Citizenship <u>USA</u>
Post Office Address <u>same</u>
Full name of second joint inventor Andrew C. Gilbert
Second Inventor's signature $\frac{G/12/L}{D=10}$
Residence <u>5 Scarlet Oak, Califon, New Jersey 07830</u>
Citizenship <u>USA</u>
Post Office Address <u>same</u>
Full name of third joint inventor Philip M. Ginsberg
Third Inventor's signature
Date Residence <u>25 Broad Street, Penthouse C, New York,</u>
New York 10004
Citizenship <u>USA</u>
Post Office Address same

	Full name or fourth inventor <u>Glenn D. Kirwin</u>
	Fourth Inventor's signature
	Residence <u>55 Fayette Road, Scarsdale, New York 10583</u>
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>
	Full name of fifth joint inventor Howard W. Lutnick
•	Fifth Inventor's signature
	Date Residence 200 E. 69th Street, Penthouse B, New York, New York 10021
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>
	Full name of sixth joint inventor Michael E. Williams
	Sixth Inventor's signature
	Date Residence <u>Sand Spring Road, Morristown, New Jersey 07960</u> Citizenship <u>USA</u>
	Post Office Address <u>same</u>
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Prior Fore	ign Applicatio	n(s)		Prior Clair	_
(Number)	(Country)	(Day/Month/Year	Filed)	[] Yes	[] No
(Number)	(Country)	(Day/Month/Year	Filed)	[] Yes	[] No
Code § 119 application 60/131	(e) of any Uni n(s) listed be	April 30,	sional 1999	State	25
I hereby c. Code, \$ 120 and, insofathis application Title 35, to disclose all informations defined which because applications.	laim the benef. 0 of any United ar as the subjectation is not on in the manner. United States (e to the United ation known by in Title 37, (me available between the control of the contro	it under Title 3 d States applica ect matter of ea disclosed in the r provided by th Code, § 112, I a d States Patent me to be materi Code of Federal etween the filin	5, United tion(s) lach of the prior United first particular to pate all to pate gulation gulate of	isted claim ited S aragra e the mark (entabi ns, S the p	belowns of States aph of duty Office lity 1.56 prior
(Application	on Serial No.)	(Filing Date)	(Status) pending,		ented, loned)
(Application	on Serial No.)	(Filing Date)	(Status) pending,	_	
attorneys of transact al	or agents to pr ll business in Office connecte	•	plication es Patent	and	
	Jeffrey H. Inc	ogers (Reg. No. german (Reg. No. rne (Reg. No. 40	31,069);	and	

Send correspondence to:

Direct telephone calls to:

Matthew T. Byrne (212) 596-9000

Full name of first inventor Stuart A. Fraser
First Inventor's signature Date
Residence 18 Maple Way, Armonk, New York 10504
Citizenship <u>USA</u>
Post Office Address same
·
Full name of second joint inventor Andrew C. Gilbert
Second Inventor's signature \(\frac{12/b}{}
Residence <u>5 Scarlet Oak, Califon, New Jersey 07830</u>
Citizenship <u>USA</u>
Post Office Address <u>same</u>
Dame
Full name of third joint inventor Philip M Ginsberg
10 9 HIVE
Third Inventor's signature \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Residence 25 Broad Street, Penthouse C, New York,
New York 10004
Citizenship <u>USA</u>
Post Office Address same

Full name of fourth inventor Glenn D. Kirwin	
Fourth Inventor's signature	
Residence 55 Fayette Road, Scarsdale, New York 10583	Date
Citizenship <u>USA</u>	
Post Office Address same	
Full name of fifth joint inventor <u>Howard W. Lutnick</u>	
Fifth Inventor's signature	
I	ate
Residence 200 E. 69th Street, Penthouse B, New York,	
New York 10021	
Citizenship <u>USA</u>	
Post Office Address <u>same</u>	
Full name of sixth joint inventor Michael E. Williams	
Sixth Inventor's signature	
Residence Sand Spring Road, Morristown, New Jersey 079	Date 60
Citizenship <u>USA</u>	
Post Office Address same	

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(Number)	(Country)	(Day/Month/Year	Filed)	[][] Yes No	
(Number)	(Country)	(Day/Month/Year	Filed)	[][] Yes No	
Code § 119 applicatio	(e) of any Un: n(s) listed be	fit under Title 3 ited States provielow. April 30,	sional	States	
60/131 (Applicati	on Serial No.				
Code, § 12 and, insofthis application Title 35, to discloss all informas defined which becamapplication date of the	O of any Unite ar as the subscation is not in the manner United States e to the Unite ation known by in Title 37, me available had application		tion(s) lach of the prior Under first packnowledge and Trader al to pate Regulation g date of rnational	isted below claims of ited States aragraph of the duty mark Office entability ns, § 1.56 the prior filing	
(Application	on Serial No.)	(Filing Date)	(Status) pending,	(patented, abandoned)	
(Application	on Serial No.)	(Filing Date).		(patented, abandoned)	
As a named inventor, I hereby appoint the following attorneys or agents to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:					
	Laurence S F	Rogers (Reg. No.	28 4651 •		

Laurence S. Rogers (Reg. No. 28,465);

Jeffrey H. Ingerman (Reg. No. 31,069); and

Matthew T. Byrne (Reg. No. 40,934).

Send correspondence to:

Direct telephone calls to: Matthew T. Byrne (212) 596-9000

Full name of first inventor Stuart A. Fraser	
First Inventor's signature	
Residence 18 Maple Way, Armonk, New York 10504	Date
Citizenship <u>USA</u>	
Post Office Address <u>same</u>	
Full name of second joint inventor Andrew C. Gilbert	
Second Inventor's signature	Date
Residence <u>5 Scarlet Oak, Califon, New Jersey 07830</u>	
Citizenship <u>USA</u>	
Post Office Address same	·
Full name of third joint inventor Philip M. Ginsberg	··-·
Third Inventor's signature	Data
Residence 25 Broad Street, Penthouse C, New York,	Date
New York 10004	
Citizenship <u>USA</u>	
Post Office Address same	

Full name of fourth inventor Glenn D. Kirwin	
Fourth Inventor's signature	2/22/00
	Date
Residence <u>55 Fayette Road, Scarsdale, New York 10583</u>	
Citizenship <u>USA</u>	
Post Office Address same	
Full name of fifth joint inventor Howard W. Lutnick	
Fifth Inventor's signature	
Residence 200 E. 69th Street, Penthouse B, New York,	Date
New York 10021	
Citizenship <u>USA</u>	
Post Office Address same	
rost office Address <u>same</u>	
Full name of sixth joint inventor Michael E. Williams	3
Sixth Inventor's signature	
Residence Sand Spring Road, Morristown, New Jersey 07	Date 960
Citizenship USA	
Post Office Address same	

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(check [] is attached hereto
one)

[X] was filed on ______April 19, 2000 as
Application Serial No. _____09/553,423
and was amended on ______(if applicable)

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CF/002

Prior Foreign Application(s)

Claimed

(Number) (Country) (Day/Month/Year Filed)

(Number) (Country) (Day/Month/Year Filed) Yes No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

60/131,992 April 30, 1999
(Application Serial No.) (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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Send correspondence to:

TUG IN CUBU TONG THE CHINION FILESCHILDIC DOG COON TO DELLANCOLOGICA F. NO

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First Inventor's signature	
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Second Inventor's signature	6/12/0
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Citizenship <u>USA</u>	
Post Office Address same	
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Thind Towns 1	
Third Inventor's signature	7:4
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Citizenship <u>USA</u>	
Post Office Address same	

Fourth Inventor's signature	
Residence 55 Fayette Road, Scarsdale, New	
Citizenship <u>USA</u>	
Post Office Address same	
Full name of fifth joint inventor Howard	Lutnick
Fifth Inventor's signature	/
Residence 200 E. 69th Street, Penthouse B.	D New York
New York 10021	
Citizenship <u>USA</u>	
Post Office Address same	
Full name of sixth joint inventor Michael B	E. Williams
Sixth Inventor's signature	
Residence <u>Sand Spring Road, Morristown, Nev</u>	V Jersey 079
Citizenship <u>USA</u>	

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Prior Foreign A	Application(s)
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			Clai	-
(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	[] No
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Post Office Address <u>same</u>	
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Full name of second joint inventor Andrew C. Gilbert	
Second Inventor's signature	12/00
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Sixth Inventor's signature
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